

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. JP920010012US1

Group Art Unit: 2122

Examiner:

Date: October 30, 2001

**CODE OPTIMIZATION** For:

**Commissioner for Patents** Washington, D.C. 20231

Applicants: Navin K. Sinha

Serial No. 09/870,087

Confirmation No. 6782

Filed: May 30, 2001

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JAN 2 4 2002
Technology Center 2100

#### **SUBMISSION OF FORMAL DRAWINGS**

Sir:

Submitted herewith are three (3) sheets of Formal Drawings for filing in the above-indentified application.

Respectfully submitted,

Manny W. Schecter Reg. No. 31,722

Telephone: (914) 945-3252

IBM CORPORATION Intellectual Property Law Dept. P.O. Box 218 Yorktown Heights, NY 10598

Fax: 914-945-3281

### THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Mavin K. Sinha Sorial No. 199/870,087 Filing Date: May 30, 2001

Docket No. JP920010012US1 Group Art Unit: 2122

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For:

**CODE OPTIMIZATION** 

Commissioner for Patents Washington, D.C. 20231

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#### REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Sir:

Attached is a copy of the official filing receipt from the PTO in the above mentioned patent application for which issuance of a corrected filing receipt is respectfully requested.

There is an error in:

X	Applicant's	name	and/or	address.
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☐ Title

□ Filing Date

□ Serial Number

☐ Atty. Docket No.

in that it should read as follows:

"Sinha Navin Kumar" should read -- Navin Kumar Sinha.

Since this error was due on the part of the Applicant, please charge any fee to our Deposit Account No. 50-0629.

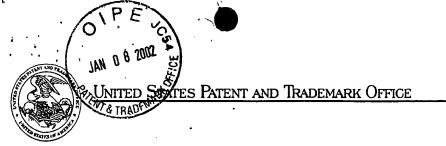
Respectfully submitted,

Manny W. Schecter

Telephone: 914-945-3252 Registration No. 31,722

IBM CORPORATION INTELLECTUAL PROPERTY LAW DEPT. P.O. BOX 218 YORKTOWN HEIGHTS, NEW YORK 10598 Fax No. 914-945-3281

Dated: October 30, 2001



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
Washington, D.C. 2023
www.usplo.gov

ı	APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
	09/870,087	05/30/2001	2122	790 、	P920010012US	1 3	20	4

**CONFIRMATION NO. 6782** 

**FILING RECEIPT** 

\*OC00000006369398\*

Stephen C. Kaufman IBM Corporation Route 134 Kitchawa Road Box 218 Yorktown Heights, NY 10598

Date Mailed: 08/09/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) WAYN KUMAR SINHA Sinha Navin Kumar, Bangalore, INDIA;

**Assignment For Published Patent Application** 

International Business Machines Corporation, Armonk, NY;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 07/31/2001

**Projected Publication Date: 12/05/2002** 

Non-Publication Request: No

Early Publication Request: No

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**Technology** Center 2100

Title

Code optimization

**Preliminary Class** 

717

Data entry by : LE, HANH

Team : OIPE

**:...** 

Date: 08/09/2001

## LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control. Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

#### PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Navin K. Sinha Serial No.: 09/870,087

Filing Date: May 30, 2001 Confirmation No.: 6782

For: CODE OPTIMIZATION

Commissioner for Patents Assignment Division Washington, D.C. 20231 Docket No. JP920010012US1

Group Art Unit: 2122

Examiner:

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## REQUEST FOR CORRECTED NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

Sir:

Please issue a Corrected Notice of Recordation of Assignment Document which changes the inventor's name from "Sinha Navin Kumar" to "Navin Kumar Sinha". A marked-up copy of the first page of the Notice of Recordation of Assignment Document, a copy of the Recordation Form Cover Sheet and a copy of the Assignment Document are enclosed.

Please charge any fees to my Deposit Account No. 50-0629.

Respectfully submitted,

Manny ₩. Schecter Registration No. 31,722

914-945-3252

IBM CORPORATION
INTELLECTUAL PROPERTY LAW DEPT.
P.O. BOX 218
YORKTOWN HEIGHTS, NEW YORK 10598

Dated: October 30, 2001



# UNITED STATES PATENT AND TRADEMARK OFFICE THE COMMISSIONER FOR THE PATENT AND TRADEMARK OFFICE THE PATENT AND TRADEMARK

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UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov



**CONFIRMATION NO. 6782** 

SERIAL NUMBE 09/870,087	R	05/30/2001 RULE	C	717	GROUP ART UNIT		DOCKET NO. JP920010012US1		
APPLICANTS  Navin Kuma	ar Sin	ha, Bangalore, INDIA;							
** CONTINUING [	ATA	******	*						
** FOREIGN APP	LICA	TIONS ************************************	***						
IF REQUIRED, FO ** 07/31/2001	REI	GN FILING LICENSE	GRANT	ĒD					
Foreign Priority claimed 35 USC 119 (a-d) condi met Verified and Acknowledged	SC 119 (a-d) conditions yes no Met after Allowance		er tials	STATE OR COUNTRY INDIA	SHEETS DRAWING 3		TOTAL IN CLAIMS		INDEPENDENT CLAIMS 4
Stephen C. Kaufm IBM Corporation Route 134 Kitchav Box 218 Yorktown Heights	va Ro								
TITLE Code optimization					· -				
				☐ All Fees					
	FEES: Authority has been given in Paper  No to charge/credit DEPOSIT ACCOUNT  No for following:				1.16 Fees ( Filing )				
RECEIVED N					☐ 1.17 Fees ( Processing Ext. of time )				
790					☐ 1.18 Fees ( Issue )				
				Other					
					☐ Credit				





Patent Application of: Navin K. Sinha

Confirmation No. 6782

Serial No.: 09/870,087

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Filed: May 30, 2001

Group Art: 2122

For:

**CODE OPTIMIZATION** 

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Commissioner for Patents Washington, D. C. 20231

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#### **CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that the attached correspondence comprising:

1. Acknowledgement Postcard

2. Request for Corrected Filing Receipt (inventor name correction)

3. Marked up copy of original Official Filing Receipt

4. Request for Corrected Assignment Recordation (inventor name)

5. Marked up copy of original Notice of Recordation of Assignment

6. Submission of (3) Formal Drawings

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents Washington, D.C. 20231

on October 30, 2001.

Kathy Cognatello

(Type or print name of person mailing paper)

(Signature of person mailing paper)

Docket No.: JP920010012US1